

# HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

07/23/03



08306 U.S. PTO  
10/625159

07/23/03

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <small>(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))</small>	Attorney Docket No.	1571.1124-003
	First Named Inventor or Application Identifier	Xiao-Jing Lu
	Express Mail Label No.	EV214943288US

Title of Invention	A PRISMATIC RETROREFLECTOR HAVING A MULTI-PLANE FACET
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<b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application contents.	<b>ADDRESS TO:</b> Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450
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1. <input type="checkbox"/> Fee Transmittal Form <i>(Submit an original, and a duplicate for fee processing)</i>  2. <input checked="" type="checkbox"/> Specification <b>Total Pages [ 24 ]</b> <i>(preferred arrangement set forth below)</i> <ul style="list-style-type: none"> <li>- Descriptive title of the invention</li> <li>- Cross References to Related Applications</li> <li>- Statement Regarding Fed sponsored R &amp; D</li> <li>- Reference to sequence listing, a table, or a computer program listing appendix</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings <i>(if filed)</i></li> <li>- Detailed Description</li> <li>- Claim(s)</li> <li>- Abstract of the Disclosure</li> </ul> 3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) <b>Total Sheets [ 13 ]</b> <input checked="" type="checkbox"/> Fig. of the Drawings for Publication [ 9 ] <input type="checkbox"/> No Figure to be Published  4. <input checked="" type="checkbox"/> Oath or Declaration <b>Total Pages [ 3 ]</b> a. <input type="checkbox"/> Newly executed (original or copy) b. <input checked="" type="checkbox"/> Copy from a prior application (37 C.F.R. 1.63(d)) <i>(for continuation/divisional with Box 17 completed)</i> i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b).  5. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program <i>(Appendix)</i>	6. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i> a. <input type="checkbox"/> Computer Readable Form b. <input type="checkbox"/> Paper Copy (identical to computer copy) [    ] Pages c. <input type="checkbox"/> Statements verifying identity of above copies  <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="text-align: center;"><b>ACCOMPANYING APPLICATION PARTS</b></td> </tr> <tr> <td>           7. <input type="checkbox"/> Assignment Papers (cover sheet &amp; documents)                <input checked="" type="checkbox"/> Assignee - Reflexite Corporation                    Avon, CT 06001-4217             8. <input type="checkbox"/> Power of Attorney [    ] 37 C.F.R. 3.73(b) Statement            9. <input type="checkbox"/> English Translation Document <i>(if applicable)</i>            10. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 [    ] Copies of IDS Citations            11. <input type="checkbox"/> Preliminary Amendment            12. <input checked="" type="checkbox"/> Return Receipt Postcard            13. <input type="checkbox"/> Small Entity Statement(s)            14a. <input type="checkbox"/> Foreign Priority Claim under 35 U.S.C. §119 or 365            14b. <input type="checkbox"/> Certified Copy of Priority Document(s)            15. <input type="checkbox"/> Nonpublication Request <i>(check parent application)</i>            16. <input checked="" type="checkbox"/> Other <u>Remarks/Change of Correspondence Address Letter</u> </td> </tr> </table>	<b>ACCOMPANYING APPLICATION PARTS</b>	7. <input type="checkbox"/> Assignment Papers (cover sheet & documents) <input checked="" type="checkbox"/> Assignee - Reflexite Corporation Avon, CT 06001-4217  8. <input type="checkbox"/> Power of Attorney [    ] 37 C.F.R. 3.73(b) Statement 9. <input type="checkbox"/> English Translation Document <i>(if applicable)</i> 10. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 [    ] Copies of IDS Citations 11. <input type="checkbox"/> Preliminary Amendment 12. <input checked="" type="checkbox"/> Return Receipt Postcard 13. <input type="checkbox"/> Small Entity Statement(s) 14a. <input type="checkbox"/> Foreign Priority Claim under 35 U.S.C. §119 or 365 14b. <input type="checkbox"/> Certified Copy of Priority Document(s) 15. <input type="checkbox"/> Nonpublication Request <i>(check parent application)</i> 16. <input checked="" type="checkbox"/> Other <u>Remarks/Change of Correspondence Address Letter</u>
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17. If a **CONTINUING APPLICATION**, check appropriate box; supply the requisite information.

☐ Continuation    ☒ Divisional    ☐ Continuation-in-part (CIP)    of prior application No.: 09/820,162  
 Prior application information: Examiner: Mohammad Y. Sikder    Group Art Unit: 2872

**The entire disclosure of the prior application is considered a part of the disclosure of the accompanying application and is hereby incorporated by reference.**  
*(Add standard Related Applications section with incorporation by reference to specification or update same)*

<b>18. CORRESPONDENCE ADDRESS</b>					
NAME	Customer No. 021005				
	HAMILTON, BROOK, SMITH & REYNOLDS, P.C.				
ADDRESS	530 Virginia Road, P.O. Box 9133				
CITY	Concord	STATE	MA	ZIP CODE	01742-9133
COUNTRY	USA	TELEPHONE	(978) 341-0036	FAX	(978) 341-0136

Signature	<i>Erik L. Ence</i>	Date	July 23, 2003
Submitted by Typed or Printed Name	Erik L. Ence	Reg. Number	42,511